# **HDFC Bank Investment Services Account**

Application No.:

HDFC	ВА	NK
We understan	d your	world

I/We request you to open an investment services account to transact in Mutual Funds and link the same to the existing / new Bank account mentioned below: (The holding pattern of Investment Service Account should be similar to the Bank Holding pattern and cannot be changed later)

Name of the bank accoun	t holder																							
1st / sole holder																								
2nd holder																								
3rd holder																								
Guardian Name (in case the first Applicant is a Minor)																								
Karta Name (in case the first Applicant is a HUF)																								
			PA	N No	*												Cus	st ID	*					
1st / sole holder																								
2nd holder																								
3rd holder																								
Guardian																								
Karta																								
Email Id*:																								
	(The pro	oduct o	offering	cove	ers, pi	oduct	informa	ation,	, resea	arch	report	s / sta	ateme	ent (if	any)	) whi	ch w	ould	be s	ent r	egula	arly to	this ID)	
Contact Number*: (R)								(C	O)											(Mc	obile)			
HDFC Bank Account Nu	mber (ir	n case	of exis	ting c	custor	mer):																		
AOF Application Number	r (in cas	se of ne	ew acc	ount)																				
Nomination : I/We													do	here	eby n	omir	nate	the p	ersc	n on	the			day of
																			in r	espe	ct of	units	held by me/ us.	
Name and Address of Nominee																								
Date of Birth (in case no	minee is	s a mir	nor)								Rela	tionsl	hip wi	th Ap	plica	ant:								
making such applications. I/ We und that all the details in my/ our relations in to pursuant to these terms and co time to time such other documents and including those excluding/limitin the investments aware of the provisi	ertake to info ship record a nditions wou as may be sign your Liabil ons of the te aintenance occount ink the above s/ bankers of on such inst irrevocably of agree that the	orm, in wr are true at ald be as p pecified by lity. I/We a erms and o charge fo e mentione heque, fro cructions s constitute the instructione instructione instructione	iting, of an and correct over the properties of the Bank agree that conditions or Investment of the Bank agree that conditions or Investment of the Bank agree that conditions or Investment of the Bank agree that conditions may be a supported by the Bank agree that conditions of	and any visions of for community the Ban and the ment Ser Bank According on older as by the fore given	ge in the instruct of the Ir instruct of the Ir inpliance is A/c lin same with the instruction of the Ir instr	particular ion given come Tay or updati ked to the vill be bind ccount lew accou purchase This mar agent. er to purc irst holde	rs furnished to you to to to you to to to Act, 1961 ing of record a Investme ding on the unit maintai a, redempti date by muchase mutur r in name of	d above ransact , or any rds if an ent Servi e application, switter us is	e. I/ We fit business y modification. I/ We fit business y modification. I/ We rices Accounts by under the product of the prod	further as on mation of have recount wase of the med by eight of countered funded	agree tha y/ our bel r re-enac read and ill not be the facility me/ us to dividends to by the	t any falsical fishall ment the understactosed to provide the Investor any search in the Bank in the Bank the Bank fishall fis	se/ misle be in du ereof. I/ \( \) and the to II the tim d herein.  estment: uch acts respect of	eading in the conformal of the conformal	nformatormity wee and concey investo will be just a account tual Furnish permoned homes oned homes permoned hom	tion given the declared ditions at the the ointly a unt and units mitted be erein.	applica e that a applica nolding and sev to tran s in pur	me/ us able lav any and able to t s are e verally b asfer ful rsuance RBI and	or supply ws as not all tax the bandither repound by the bound in a ge of the diff or relations and the content of the cont	pression may for the liability lik's Investedeeme by the to any form e Instruc-	n of any the time will be estment d or trai erms an m and m etions gi egulatio	material my/ our Service nsferred nd condi	ren above are true to the best of my/ our knowledge a al fact will render my/ our account liable for termination be in force. Any tax implication arising out of any trans sole responsibility. I/ We shall execute and deliver to so Account and agreed to be bound by the said terms it to physical form method. I/ We undertake to make to tions of the Bank's Investment Services Account. Including but not limited to by way of debit/ credit of mane/ us from time to time. I/ We hereby state that all to pplicable train time to time.	on. I/ We decla sactions enter to the Bank, for s and condition the applicants
Signature	of the										Sign	ature	of the	е									Signature of the	

Signature of the Sole/ first Bank account holder

Signature of the Second Bank account holder

Signature of the Third Bank account holder

Fo	r Office (	use																			
Sig	gnature V	erified	Yes		Banl	(Accoun	nt E/S A	Account	OR Sin	gle	Yes		Custome	r Details	Verifie	ed	Yes				
Ne	et Banking	g / Phor	ne Banking Activa	ted	Yes	(Applic	ation f	or Net B	Banking/	Phone	Banking	g to be	e signed by the First	holder)							
R۱	/I Name						F	RM Sign	ature					RM Er	nploye	ee Cod	е				
	Primary	RM Na	me:										Primary RM CAMS	Code:							
	Shadow	RM Na	me:										Shadow RM CAMS	Code:							
	Branch N	Name:											Branch Code:								
	LC Code	e (For u	se by Coex only):										Date of form filling:								
Ιh	ereby cor	nfirm th	at all the documer	nts have	been	seen and	d verifi	ed with	originals	<b>3</b> .											
R۱	/I Name						F	RM Sign	ature					RM Er	nploye	ee Cod	е				
ΒN	/I Name						E	3M Sign	ature					BM Er	nploye	ee Cod	е				
(To	be verifi	ed eithe	er by RM/ BM or F	BG Adv	visor)																
Г																					
		CHEC	KLIST																	HER	
	1	Ensure	that NAME	/ PAN N	10 / CL	IST ID o	of all the	e applica	ants are	mentio	ned.										
	2	In case	first applicant is I	MINOR -	N <i>A</i>	ME/ PA	N NO	/ CUST	<b>ID</b> of G	aurdian	is ment	ioned									
	3	In case	applicant is <b>HUF</b>	NA	ME/ P	AN NO /	CUST	<b>ID</b> of K	arta is r	nention	ed										
	4	HDFC	Bank A/c. No is m	entione	d I	n case o	of existi	ing Banl	k Custoi	mers											
	5	Signat	ure of all Bank Ac	count H	older p	resent o	on the f	orm													
	6	Signatu	res Verified	Tick in B	ox – Y	ES/NO															
	7	Custon	ner Details Verified	iT b	ck in B	ox – YES	S/NO														
	8	RM Na	me/ RM Signatur	e/ RM E	MP C	ode is pr	resent	on the fo	orm												
	9	Primar	y RM CODE/ Sha	dow RI	M COD	E (in cas	se of s	hadow o	credit) is	mentio	oned										
	10	Branch	Name/ Branch C	ode of th	ne RM	is mentio	oned														
	11	Any alt	eration on the forr	n is cou	ntersig	ned by a	all the a	applican	ts												
	12	Email II	D & Contact Num	bers of t	he Cu	stomers i	is men	tioned													
	13	Applica	tion for Net banki	ng / Pho	ne bar	nking to b	be sigr	ned by th	he <b>First</b>	holder	( if not i	regist	ered)								
	14	CVL Pr	int out of KYC ver	ification	is atta	ched wit	th ISA	form wit	h prope	r attesti	on										
	15	Debit M	landate attached																		

<sup>(</sup>i) The ISA account Holding pattern has to be lines with the Bank account Holding pattern. For example, For Bank Account with the A, B & C as holders the ISA Holding patterns can be (i) A, B & C.... (ii) A & B..... (iii) A & C only. The holding pattern once registered cannot be changed in future.

<sup>(</sup>ii) KYC certification for all the holders of the ISA account is mandatory. Pls attach the KYC certification copies or duly filled KYC application froms for all the proposed holders.

## **CLIENT RISK PROFILE AND SUITABILITY FORM**

(For Offline Mutual Fund transactions )

Custom	ner ID		:				A	ttach Barcod	e sticker	(FTS)->	
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				Holder							
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Pan No	ccount Numb	per	:								
	-	E QUESTIONNA	IRE					l			
				10%? (Plea:	se tick on any o	ne option)					
Se	ell Off		Hold On		Buy More	9					
					<u> </u>						
2. Wha	it shall be you	ur priority for i	vestments?	(Please tic	k on any one or	otion)					
Preserv	ve Money	Gr	ow Money		Maximise Mo	ney					
∕our Ri	isk Profile is_			(Plea	ase turn Overlea	f to identi	fy the sa	me)			
	sum \ SIP)	. 1.1191 . 1 . 1 .			Disc. (O. 1)	1					
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2											
3											1
											1
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Sr	from	like to Switch	Plan/ Option	Switch in	ould like to	Plan/ Option	Switch Amt	Switch Units	Produc Rating		Permissible Risk Rating
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Any offline transaction done without submission of this form to TPP Operations shall be highlighted as an exception

### **INSTRUCTIONS**

- 1. This form needs to be filled up to complete the client profiling and suitability as required by SEBI guidelines.
- 2. This form should not to be submitted to the AMC.
- 3. To identify customer's Risk Profile basis the response to questionnaire use below table:

Action	Priority	Risk Profile
Sell Off	Preserve money	Conservative
Sell Off	Grow Money	Conservative
Sell Off	Maximise Money	Moderate
Hold On	Preserve money	Conservative
Hold On	Grow Money	Moderate
Hold On	Maximise Money	Aggressive
Buy More	Preserve money	Moderate
Buy More	Grow Money	Aggressive
Buy More	Maximise Money	Aggressive

## 4. Product Risk Rating and Recommendations

	Scoring of Recommended Funds			SEBI Riskometer	r	`	
		Low Risk	Low to Moderate Risk	Moderate Risk	Moderately High Risk	High Risk	Very High Risk
	Overnight funds	1	2	3	4	5	6
	Liquid funds	1	2	3	4	5	6
3	Ultra Short duration		2	3	4	5	6
	Low duration		2	3	4	5	6
5	Money market		2	3	4	5	6
6	Floater fund		2	3	4	5	6
7	Short duration		2	3	4	5	6
8	Banking & PSU fund		2	3	4	5	6
9	Arbritage fund		2	3	4	5	6
10	Medium duration fund			3	4	5	6
11	Medium to Long duration fund			3	4	5	6
12	Long duration fund			3	4	5	6
13	Dynamic Bond			3	4	5	6
14	Gilt funds			3	4	5	6
15	FMP's			3	4	5	6
16	Corporate Bond fund			3	4	5	6
17	Conservative Hybrid fund			3	4	5	6
18	Aggressive Hybrid fund				4	5	6
19	Gilt with 10 year constant duration				4	5	6
20	Dynamic Asset Allocation or Balanced Advantage fund				4	5	6
21	Equity Savings fund				4	5	6
22	Multi-Asset Allocation				4	5	6
23	Index funds				4	5	6
24	Large cap funds				4	5	6
25	Gold funds				4	5	6
	ELSS				4	5	6
27	Multi Cap funds					5	6
28	Dividend Yield funds					5	6
29	Large & Mid cap funds					5	6
30	Value & Contra fund					5	6
31	Focussed funds					5	6
	Credit Risk funds						6
33	Mid cap funds						6
	Small Cap funds					1	6
	Sectoral/Thematic funds						6
	FOF- Overseas funds						6

			Asset Allocation	•		
Client Profile	Permissible Fund	Debt	Equity	Gold		
	Score				Other Conditions	Suitability
<u>Conservative</u>	Upto 4	70%	25%	5%	Non Recommended Funds	Not suitable
W.L.	11.0.5	400/	FFO	F0/	Closed Ended funds / FMPs	Upto 15% of client portfolio
<u>Moderate</u>	Upto 5	40%	55%	5%	<u>Single Fund exposure</u>	Upto 10% of client portfolio
<u>Aggressive</u>	All	20%	75%	5%	Single AMC exposure	Upto 25% of client portfolio

# Updated and Approved on October 2023

AICICI
PRUDENTIAL TO
MUTUAL FUND

## Common Application Form for Lump sum/Systematic Investments Plan

By mestioning RIAPMEN Codes: No cathorists you to share with the investment Advisor the defaults of mybur transactions in the schemetics of ICCID Protected Methods of Code Protection of Visoraccion myburs and the schemetics of ICCID Protected Methods of Code Protection of Visoraccion myburs and the schemetics of ICCID Protected Methods of Code Protection of Visoraccion myburs and the State of Visoraccion myburs and the Sta	PRUDENTIAL TO MUTUAL FUND	Investo All sec		t read	d Key	Sche	me Fe	atur	es ar	nd Inst	tructi	ons b	efor	e com	plet	ing t	this 1			TTER	5.		,	Appli	icat	ion	No.			
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No.   Miles	APPLICANT(S)	DETA	ILS (PI	ease	refer	to Ins	tructio	n No	). II (b	) & IV	) (Nan	ne sho	uld b	oe as p	er th	ne PA	N)													
Legal Entity Identifies Number is for Transaction value of NRF 50 crore and above. See instruction No. XVI)   MMC OF GUARDIAN (in case First Sole applicant is minor)/CONTACT PERSON-DESIGNATION/POA HOLDER (in case of Non-Individual Investors)	DLE / 1 <sup>ST</sup>						-IR <b>S</b> T									MID	DLE									L	.AS	Γ		
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N/PEKRN*  KYC Id No.Y KYC Proof Attached (Mandatory)  Date of Birth  N/PEKRN*  KYC Id No.Y KYC Proof Attached (Mandatory)  Date of Birth  D D M M Y Y Y  N/PEKRN*  KYC Id No.Y KYC Proof Attached (Mandatory)  Date of Birth  D D M M Y Y Y  N/PEKRN*  KYC Id No.Y KYC Proof Attached (Mandatory)  Date of Birth  D D M M Y Y Y  N/PEKRN*  KYC Id No.Y KYC Proof Attached (Mandatory)  Date of Birth  D D M M Y Y Y  N/PEKRN*  KYC Id No.Y KYC Proof Attached (Mandatory)  Date of Birth  D D M M Y Y Y  N/PEKRN*  KYC Id No.Y KYC Proof Attached (Mandatory)  Date of Birth  D D M M Y Y Y  N/PEKRN*  KYC Id No.Y KYC Proof Attached (Mandatory)  Date of Birth  D D M M Y Y Y  Nonadatory information left blank, the application is liable to be rejected. (Idendatory) to attached proof in case the pay-out back account is different from the source bank account in the source bank account is mentioned here.  Account  Account Type  Savings Current NRE NRO Provided.  MICR Code  In Scale In It Digit  Sends Account Details (Please */):  D Savings Current NRE NRO Provided.  INVESTMENT DETAILS (Refer Instruction No. IV) (For Plans & Sub-options please see key scheme features). Please mention scheme name below:  CI Prudential  Investment In Income Distribution cum Capital Withdrawal (IDCW) option of the Scheme may note that the amounts can be distributed o vestors capital (Equalization Reserve), which is part of sole price that represents realized gains.  PAYMENT DETAILS  Mode of Payment Cheque  DD Funds Transfer NEFT RTG  Investment  Account Type  Savings Current NRE NRO PRO  Amount  T A Mandatory Enclosures (Please tick (/) if it is different from above and fill in the details below)  Account Type  Savings Current NRE NRO PRO  Account Type Savings Current NRE NRO PRO  Statement  Account Type Savings Current NRE NRO PRO  Statement  Account Type Savings Current NRE NRO PRO  Statement  Applications with Third Party Cheques, prefunded instruments etc. and in circumstances as detailed in AMFI Circular No.135/BP/16/10-11 shall be processed in accorda  with																							0	IVI	IV		Y	Y		Y
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